



Entity Name: University of Wisconsin-Madison			
Date Information Last Updated: 5/10/2016			
DATA ELEMENT	ANSWER OR INFORMATION REQUESTED	RELEVANT LINKS	NOTES
ENTITY RELATED INFORMATION - GENERAL			
Entity Information			
Legal Entity Name (Legal name associated with DUNS Number, can be found in SAM)	University of Wisconsin System		
Legal Entity Address (can be found in SAM as Physical Address)	21 N Park St, Ste 6401		
Legal Entity City (can be found in SAM as Physical Address)	Madison		
Legal Entity State (can be found in SAM as Physical Address)	WI		
Legal Entity Zip + 4 (can be found in SAM as Physical Address)	53715-1218		
Legal Entity Country	U.S.A.		
Legal Entity Payment Address	The University of Wisconsin-Madison, Research and Sponsored Programs, 21 North Park Street, Suite 6401, Madison, WI 53715		
Legal Entity Congressional District (please format information as "WA-007", can be found in SAM)	WI-02		
Legal Entity Fiscal Period End Date (MM/DD)	06/30		
Entity Type			
Please select your Entity type from list (same as FDP Subaward Agreement Template 3B list):		Public/State Controlled Institution of Higher Education	
If Entity type is not listed, please enter in the adjacent cell:			
Small Business Concern			
Entity represents that it is a small business concern as defined in 13 CFR 124.1002. (Yes/No)		No	
If Yes: Entity represents that it is the following type of entity:		N/A	
If Other, please specify in the adjacent cell:		N/A	
Parent/State Entity Information (If Entity is owned or controlled by a parent/state entity)			
Is Entity owned or controlled by a parent/state entity? (Yes/No)		No	
Parent/State Entity Legal Entity Name		N/A	
Parent/State Entity Legal Entity Address		N/A	
Parent/State Entity Legal Entity City		N/A	
Parent/State Entity Legal Entity State		N/A	
Parent/State Entity Legal Entity Zip + 4		N/A	
Parent/State Entity Congressional District		N/A	
Parent/State Entity Country		N/A	
Parent/State Entity EIN Number (sample format: 12-3456789)		N/A	
Parent/State Entity DUNS Number (sample format: 12-345-6789)		N/A	
Parent/State Entity Contact Information			
Parent/State Entity Contact Name		N/A	
Parent/State Entity Contact Name Phone Number		N/A	
Parent/State Entity Contact Name Email		N/A	
Entity Identification Numbers and Codes			
Entity EIN (Employer Identification Number) (sample format: 12-3456789)		39-6006492	
Entity IRS Tax Determination Letter [optional field]		Available upon request by contacting Authorized Signing Official Contact	
Entity IRS/W-9 [optional field]		Available upon request by contacting Authorized Signing Official Contact	
Entity NAICS Code(s) (North American Industry Classification System) (can be found in SAM) (sample format: 123456)		611310	
Entity DUNS Number (Data Universal Numbering System, can be found in SAM) (sample format: 12-345-6789)		16-120-2122	
Entity SAM status (System for Award Management, can be found in SAM)		Active	
Entity SAM Expiration Date (MM/DD/YYYY, can be found in SAM)		04/25/2017	



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Entity Rate Agreements			
Does Entity have a negotiated Facilities & Administrative (F&A) Rate Agreement? (Yes/No)	Yes		
If Yes, please provide a link to Entity's F&A Rate Agreement in Relevant Links		https://www.rsp.wisc.edu/rates/rates.pdf	
Does Entity have a negotiated Fringe Benefit (FB) Rate Agreement? (Yes/No)	Yes		
If Yes, please provide a link to Entity's FB Rate Agreement in Relevant Links		https://www.rsp.wisc.edu/rates/rates.pdf	
Department of Defense Registration Numbers			
Entity CAGE Code (Commercial And Government Entity, can be found in SAM) (sample format: 1ABC2)	09F22		
Entity NCAGE Code International (if Entity is a foreign organization)	NA for US Domestic Entities		
Entity Contractor Establishment Code (CEC) (May be Entity DUNS number) [optional field] (sample format: 12-345-678A)			
Entity Directorate of Defense Trade Controls (DDTC) Registration Code (Utilized in some export control actions) [optional field] (sample format: A12345)			
Department of Education Registration Numbers			
Entity Office of Postsecondary Education (OPE) ID Number (formerly known as FICE Code) [optional field] (sample format: 001234)			
Entity Integrated Postsecondary Education Data System (IPEDS) Number [optional field] (sample format: 123456)			
Assurances and Agency Approvals			
Does Entity have a HHS (US Department of Health and Human Services) /OHRP (Office of Human Research Protection) Human Subjects Federal Wide Assurance (FWA) approval? (Yes/No)	Yes		
Entity HHS/OHRP Human Subjects FWA Number (sample format: FWA000012345. Form will automatically add 4 leading zeros)	FWA00005399		
Entity HHS/OHRP Human Subjects Federal Wide Assurance Expiration Date (MM/DD/YYYY) [optional field]	09/05/2018		
Entity DOD (Department of Defense) Human Subjects FWA Addendum [optional field] (sample format: 123456)	F50326		
Does Entity have PHS (Public Health Service) /OLAW (Office of Laboratory Animal Welfare) approval? (Yes/No)	Yes		
Entity PHS/OLAW Assurance Number (sample format: A1234-56)	D16-00239 (A3368-01)		
Entity PHS/OLAW Animal Welfare Assurance Approval Date (MM/DD/YYYY) [optional field]			
Entity PHS/OLAW Animal Welfare Assurance Approval Expiration Date (MM/DD/YYYY) [optional field]	05/31/2019		
Is Entity AAHRPP (Association for the Accreditation of Human Research Protection Programs) accredited? (Yes/No)	Yes		
Entity AAHRPP Assurance Number [optional field]			
Entity AAHRPP Assurance Approval Date (MM/DD/YYYY) [optional field]	12/12/2008		
Is Entity AAALAC (Association for Assessment and Accreditation of Laboratory Animal Care International) accredited? (Yes/No)	Yes		
Entity AAALAC Accreditation Assurance Number [optional field]			
Entity AAALAC Accreditation Assurance Issue Date (MM/DD/YYYY) [optional field]			
Does Entity have USDA (United States Department of Agriculture) Research Registration Number? (Yes/No)	Yes		
Entity USDA Research Registration Number [optional field] (sample format: 12-A-3456)	35-R-0001		
Entity USDA Research Registration Expiration Date (MM/DD/YYYY) [optional field]			
Entity USDA Type of Performing Institution Designation [optional field]			
Does Entity have a NRC (Nuclear Regulatory Commission) Radioactive Materials License Number? (Yes/No)	Yes		
Entity NRC Radioactive Materials License Number [optional field] (sample format: AB-C123-4)			
Department of Defense (DOD) /Office of Naval Research (ONR) System Review/Audit Questions			
Entity Property Management System Audit (PMSA) - Approval Date (MM/DD/YYYY) [optional field]			
Entity Contractor Purchasing System Review (CPSR) - Approval Date (MM/DD/YYYY) [optional field]			
Entity Contractor Purchasing System Review (CPSR) - Approval Expiration Date (MM/DD/YYYY) [optional field]			
Entity Defense Contract Audit Agency (DCAA) - Report on Audit of Estimating System Approval Date (MM/DD/YYYY) [optional field]			
Entity Defense Contract Audit Agency (DCAA) - Report on Audit of Billing System Approval Date (MM/DD/YYYY) [optional field]			
Entity Defense Contract Audit Agency (DCAA) - Report on Audit of Accounting System Internal Control Approval Date (MM/DD/YYYY) [optional field]			
ONR Administrative Contracting Officer (ACO) [optional field]			

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Entity Contacts			
Entity Primary Authorized Signing Official Name <i>(can be found in SAM as Government Business POC)</i>	Kim Moreland		
Entity Primary Authorized Signing Official Name Title	Associate Vice Chancellor for Research and Sponsored Programs		
Entity Primary Authorized Signing Official Name Email	preaward@rsp.wisc.edu		
Entity Financial Officer/Contact Name <i>(can be found in SAM as Accounts Receivable POC)</i>	Robert Andresen		
Entity Financial Officer/Contact Name Title	Director of Research Financial Services		
Entity Financial Officer/Contact Name Email	randresen@rsp.wisc.edu		
Entity Contact for F&A Cost Rate Negotiation Name	Robert Andresen		
Entity Contact for F&A Cost Rate Negotiation Name Email	randresen@rsp.wisc.edu		
Entity Audit Contact Name	Robert Andresen		
Entity Audit Contact Name Email	randresen@rsp.wisc.edu		
Entity SAM Point of Contact Name <i>(can be found in SAM as Electronic Business POC)</i>	Mark Sweet		
Entity SAM Point of Contact Name Email	masweet@rsp.wisc.edu		
Entity FFATA Contact Name	Angela Bitner		
Entity FFATA Contact Name Email	federalaccounting@rsp.wisc.edu		
Entity Office of Sponsored Programs (link) in Relevant Links		www.rsp.wisc.edu	
ENTITY RELATED INFORMATION - CERTIFICATIONS			
Conflict of Interest			
Conflict of Interest <i>(applicable to PHS funded sponsors or those that have adopted the federal financial disclosure requirements as defined in 42 CFR part 50 Subpart F and 42 CFR part 94)</i> : Please select one of the following options (defined below) from the dropdown list to the right:			
Entity is registered in the FDP FCOI Clearinghouse certifying that it has an active and enforced Conflict of Interest Policy that is consistent with the provision of 42 CFR part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research and 42 CFR part 94."	Entity registered in FDP FCOI Clearinghouse.		
Entity is NOT registered in the FDP FCOI Clearinghouse, however does certify that it has an active and enforced Conflict of Interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research" and 45 CFR Part 94 "Responsible Prospective Contractors."			
Entity does not have an active and/or enforced Conflict of Interest policy and agrees to adopt PTE policy. Entity will coordinate with PTE on Instructions for following PTE policy at time of proposal and award. Names of "investigators" and their Significant Financial Interests (SFIs), if existing, will be provided to PTE at time of proposal and award, or as needed.			
<i>Please note: Regardless of option selected, it is the Entity's responsibility to report to Pass-Through Entity (PTE) all conflicts determined to be Financial Conflicts of Interest (FCOI) by the Entity site within a timely manner sufficient to enable timely FCOI reporting by PTE, as required by PHS or other regulations.</i>			
Debarment and Suspension			
The Entity certifies they are (Respond on each box that applies):			
Presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts. (Yes/No)	No		
Presently indicted for, or otherwise criminally or civilly charged by a government entity. (Yes/No)	No		
Please respond to the following additional questions (Respond on each box that applies):			
Within three (3) previous years, entity has been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract of subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property. (Yes/No)	No		
Within three (3) previous years, entity has had one or more contracts terminated for default by any federal agency. (Yes/No)	No		
Lobbying			
Lobbying <i>(for U.S. federal projects only)</i> :			
Entity hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of any contract. (Yes/No)	Yes		
If "No," please explain in the adjacent cell:			
Affirmative Action Compliance			
In accordance with the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2), indicate which of the statements to the right apply to entity regarding affirmative action.	Has a written affirmative action program developed and on file.		

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FFATA			
FFATA - Report Executive Compensation			
Is Entity exempt from reporting compensation? (Yes/No)	Yes		
<i>Please note: Executive compensation information for the Entity must be reported if (1) more than 80% of annual gross revenues are from the Federal government AND (2) those revenues are greater than \$25M annually, AND (3) compensation information is not already available through reporting to the Security & Exchange Commission (SEC).</i>			
If "No", provide information requested for the top five paid officers in the adjacent cell.			
ENTITY RELATED INFORMATION - AUDIT			
Single Audit Information			
Single Audit Status			
Is Entity subject to the Single Audit requirements in 2 CFR Part 200? (Yes/No)	Yes		
If Yes, please complete "If Yes" section below.			
If No, please complete "If No" section below.			
If Yes:			
Most recent fiscal year with completed Single Audit:	FY2015		
Is Entity qualified as low-risk entity by Auditor, or as defined in 2 CFR 200? (Yes/No/Not Available)	Not Available		
Did the Entity's most recent Single Audit contain any findings? (Yes/No)	Yes		
Did the Entity's most recent Single Audit contain any Significant Deficiencies? (Yes/No)	No		
Did the Entity's most recent Single Audit contain any Material Weaknesses? (Yes/No)	No		
Were any of the findings related specifically to any pass-through federal funding to the entity? (Yes/No)	No		
Please provide link to most recent completed Single Audit Report in Relevant Links		http://legis.wisconsin.gov/LAB/reports/16-5full.pdf	
Please provide links to Entity Single Audit Reports from previous Fiscal Year in Relevant Links		http://legis.wisconsin.gov/LAB/reports/15-2full.pdf	
		http://legis.wisconsin.gov/lab/reports/14-6full.pdf	
Please provide link or attachment to a management letter if it has been issued.		https://www.rsp.wisc.edu/closeout/AuditLetter2016.pdf	
If No:			
Entity IS NOT subject to the Single Audit requirements in 2 CFR Part 200 due to being the following type of entity:	N/A		
ENTITY CERTIFICATION OF ACCURACY OF INFORMATION			
Entity Authorized Official Approval/Certification			
The information, certifications and representations above are accurate and current information and have been read and made by an official of the Entity named herein that is authorized to make such certification on behalf of the entity.			
Entity Authorized Official Name	Kim Moreland		
Entity Authorized Official Title	Associate Vice Chancellor for Research and Sponsored Programs		
Entity Authorized Official Email	preaward@rsp.wisc.edu		
Entity Authorized Official Phone	608-262-3822		
Date of Authorized Official Approval (MM/DD/YYYY)	05/10/2016		
Entity Profile Preparer Name	Jennifer Rodis		
Entity Profile Preparer Name Email	rodis@rsp.wisc.edu		